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PTO/SB/05 (11-00)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 393032039600

First Inventor Toyofumi HAYASHI

Title OPTICAL PICKUP WITH DUAL FOCAL LENGTH

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## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Marco Jimenez

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.

See 37 CFR 1.27.

3.  Specification [Total Pages 41]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets 7]

5.  Oath or Declaration [Total Pages 1]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450, Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Form (CRF)

b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper

c.  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  
(where there is an assignee)  Power of Attorney By Assignee

11.  English Translation document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citation(s):

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
Should be specifically itemized

15.  Certified Copy of Priority Document  
(if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.:

Prior application information:

Examiner:

Group / Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

or  Correspondence address below

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Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600
Signature	David L. Fehrman		
	Date July 22, 2003		

# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

 Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$834.00)

Complete if Known	
Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Toyofumi HAYASHI
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	393032039600

## METHOD OF PAYMENT

 Check  Credit Card  Money Order  Other

Deposit Account Number

03-1952

Deposit Account Name

Morrison &amp; Foerster LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity

Small Entity

## Fee Description

Fee Paid

Fee Code	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	750	
1002	330	2002	160	Design filing fee		
1003	520	2003	260	Plant filing fee		
1004	750	2004	375	Reissue filing fee		
1005	160	2005	80	Provisional filing fee		

SUBTOTAL (1) (\$750.00)

## 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims 7 - 20 = 0 x 18 = \$0

Independent Claims 4 - 3 = 1 x 84 = \$84

Multiple Dependent

= \$

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Description

Fee Paid

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1251	110	2251	55	Surcharge - late filing fee or oath	
1252	410	2252	205	Requesting publication of SIR prior to Examiner action	
1253	930	2253	465	Requesting publication of SIR after Examiner action	
1254	1,450	2254	725	Extension for reply within first month	
1255	1,970	2255	985	Extension for reply within second month	
1401	320	2401	160	Extension for reply within third month	
1402	320	2402	160	Extension for reply within fourth month	
1403	280	2403	140	Extension for reply within fifth month	
1451	1,510	1451	1,510	Notice of Appeal	
1452	110	2452	55	Filing a brief in support of an appeal	
1453	1,300	2453	650	Request for oral hearing	
1501	1,300	2501	650	Petition for oral hearing	
1502	470	2502	235	Petition to institute a public use proceeding	
1503	630	2503	315	Petition to revive - unavoidable	
1460	130	1460	130	Petition to revive - unintentional	
1807	50	1807	50	Utility issue fee (or reissue)	
1806	180	1806	180	Design issue fee	
8021	40	8021	40	Plant issue fee	
1202	18	2202	9	Petitions to the Commissioner	
1201	84	2201	42	Petitions related to provisional applications	
1203	280	2203	140	Submission of Information Disclosure Stmt	
1204	84	2204	42	Recording each patent assignment per properties (times number of properties)	
1205	18	2205	9	Filing a submission after final rejection (37 CFR § 1.129(a))	
				For each additional invention to be examined (37 CFR § 1.129(b))	
				Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

SUBTOTAL (2) (\$84.00)

\*\* or number previously paid, if greater; For Reissues, see above.

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0)

## SUBMITTED BY

Name (Print/Type)

David L. Fehrman

Registration No.  
(Attorney/Agent)

28,600

Complete (if applicable)

Signature



Date July 22, 2003

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